

June 1, 2007

To: SIFMA Managing Executives

Subject: **FBIIC / FSSCC Pandemic Flu Exercise of 2007**

In September and October 2007, the financial services sector will conduct a sector-wide, multi-week pandemic flu exercise supported by the Securities Industry and Financial Markets Association (SIFMA), the Financial and Banking Information Infrastructure Committee (FBIIC) and the Financial Services Sector Coordinating Council (FSSCC). This will be the largest, most ambitious financial services exercise to date that addresses the pandemic flu threat. Participation is voluntary, free-of-charge and open to all financial services organizations including securities firms, banks, insurance firms, exchanges and industry utilities. SIFMA encourages all member firms to participate.

The exercise will be conducted from September 24th through October 12th and will offer a realistic simulation of the spread of a pandemic wave in the United States. It is designed to identify how a pandemic could affect the financial markets and will provide participants with an opportunity to examine their pandemic plans under a demanding scenario. Participants will “play” remotely from their office locations via the Internet. Anonymity of all participating organizations will be strictly respected and each organization will decide the extent of its own involvement.

In prior years, SIFMA has led annual industry-wide business continuity tests that highlighted our industry’s ability to work through major disruptions. Given the unique and significant nature of the threat posed by a flu pandemic, SIFMA proposed a 2007 pandemic simulation for the full financial services sector, endorsed by FBIIC and FSSCC. SIFMA, in partnership with FBIIC and FSSCC, is leading its development.

Complete information on the event, including secure registration, will be available in the next few weeks on a dedicated Web site linked to [www.sifma.org](http://www.sifma.org). SIFMA will announce the availability of the exercise site shortly.

At this point we encourage all firms to save the September 24th - October 12th period for the exercise. For additional information, contact Howard Sprow, Vice President, Business Continuity at SIFMA, [hspro@sisfma.org](mailto:hspro@sisfma.org), 212-618-0548.

We look forward to your participation in this critical event.

Sincerely,

Marc E. Lackritz  
President and CEO

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